

# Tompkins County Resiliency and Recovery Plan Business Continuity Planning Seminar

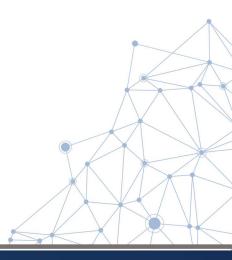
April 21, 2022





## Agenda

- Welcome
- What Is Continuity?
- Continuity Principles
- Thinking It Through
- Additional Information and Resources
- Review of Sample Business Continuity Planning Framework
- Open Discussion











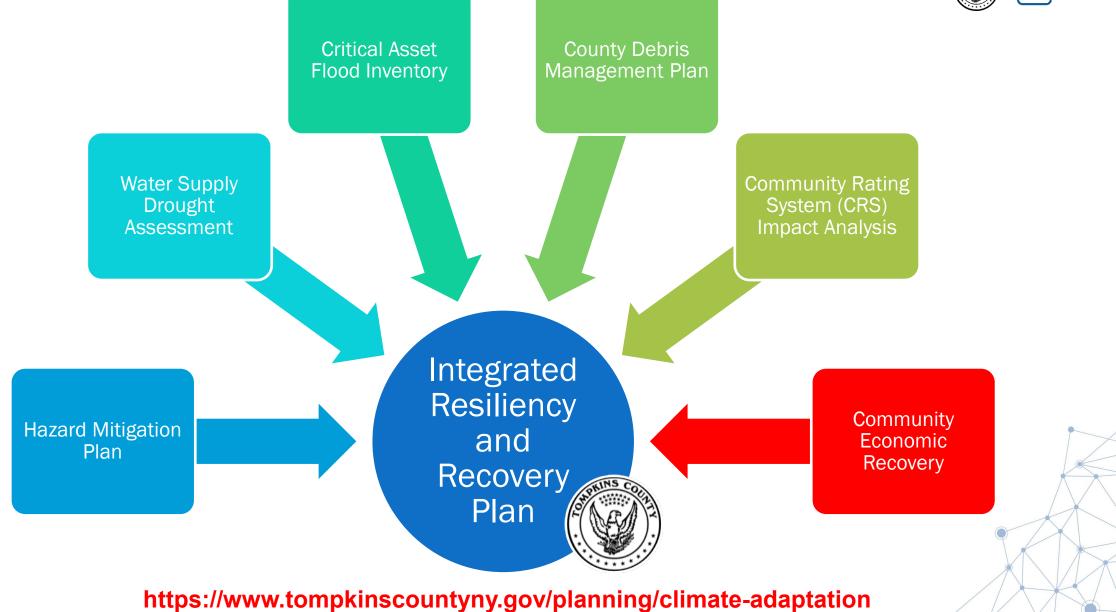
# Department of State

The Tompkins County Resiliency and Recovery Plan and this continuity training was prepared with funding provided by the New York State Department of State under Title 3 of the Environmental Protection Fund.

Welcome



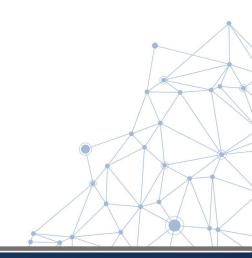








#### **What Is Continuity?**



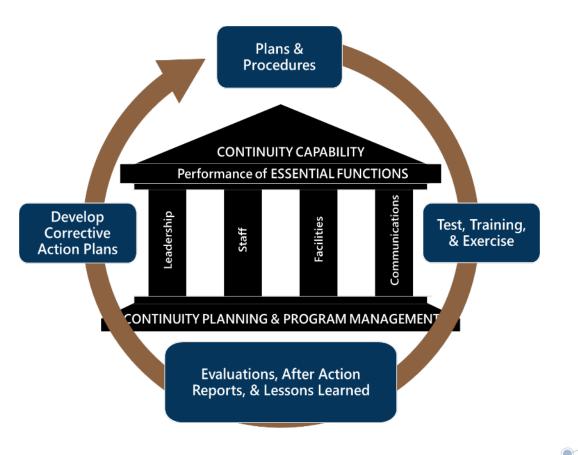




#### What Is Continuity?

Continuity is the ability to provide uninterrupted critical services, essential functions, and support, while maintaining organizational viability, before, during, and after an event that disrupts normal operations.

FEMA October 11, 2018





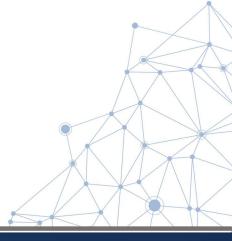


## What Is Continuity?

- What can disrupt normal operations?
  - Damage to the building(s)
  - Lack of accessibility
  - Lack of staff
  - Loss of data and/or systems



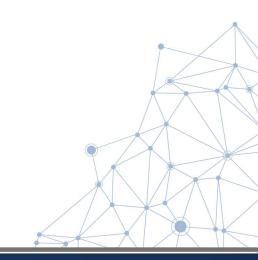








#### **Continuity Principles**

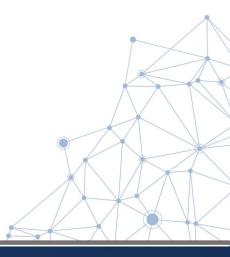






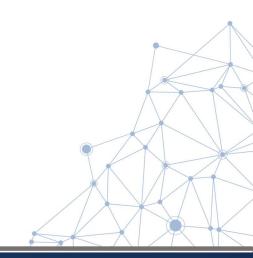
#### **Key Components**

- Continuity planning answers the following questions in a disruption:
  - •What critical actions do we need to take?
  - **Who** will do them?
  - **How** will they be done? What equipment or resources will be required?
  - •Where will we do these critical actions?
  - **When** (how quickly) will we need to resume these critical actions?
- •Also:
  - ■Who is in charge? What if they are not available?
  - •How will we return to normal? What if we cannot return to normal?













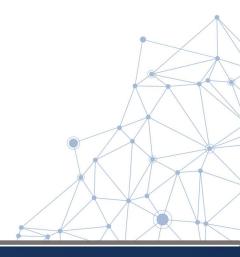
- What does your business/organization do?
- What is your business's/organization's purpose?
  - Make money?
  - Provide social services?
  - Provide healthcare?
  - Manufacture something?
- What major functions do you need to carry out for your business/organization to continue to exist?





- How long can we go without carrying out each of those functions?
  - Less than a day?
  - A day?
  - Over the weekend?
  - A week?
  - A month?

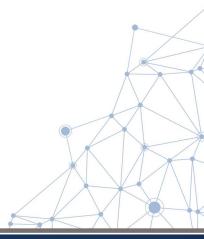
It might depend on the time of year







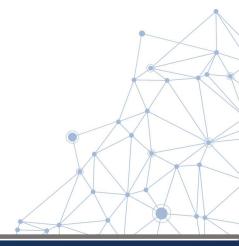
- Leadership and Authority
  - Who is in charge when the boss is on vacation?
    - Does authority go to a particular position or to a particular individual?
  - Who fills what roles when the boss is gone?
    - Who can sign time sheets?
    - Who can approve purchases?
    - Who can access the bank account?
    - Who handles emergency situations?
    - Who can hire/fire employees?
    - Who can write proposals or sign contracts?







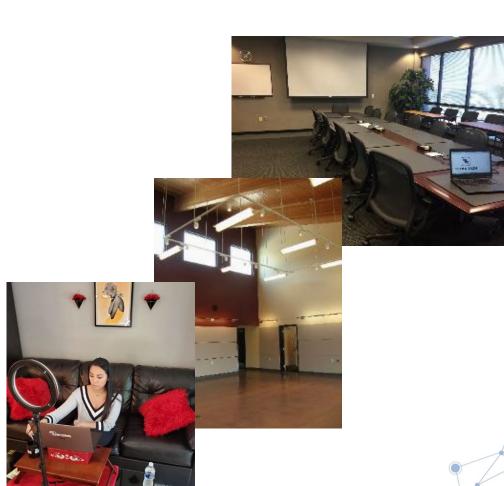
- Damaged and/or Inaccessible Building(s)
  - Were our people injured?
  - How do we tell staff that the building was damaged or is inaccessible?
  - What is in the building that we need?
  - How do we replace supplies and equipment that were damaged/destroyed?







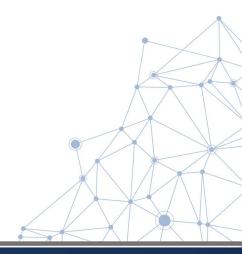
- Damaged and/or Inaccessible Building(s)
  - Where will our staff work?
    - Is the new space ready to go?
    - If not, what do we need to do to get it ready?
    - Can our staff work from home?
    - What will they need?







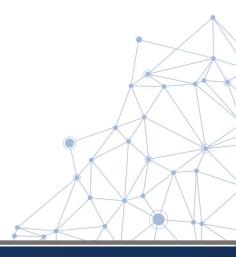
- Lack of Staff
  - What tasks are highest priority?
  - How can we reorganize work for the staff that is available?
  - Do we need to train staff on new roles?
  - Where do we find more staff?







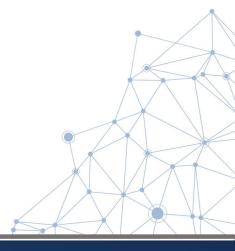
- Loss of Data and/or Systems
  - What data can we recreate and what would be lost forever?
  - What data backups do we have?
  - How old can our data be until it's a major problem?
  - What systems do we depend on?
    - Are the systems installed on our computers or web-based?
    - What alternate systems could we use?







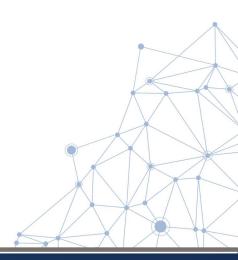
- For All Scenarios
  - How do we provide information to our staff?
  - Do we have good contact information for all staff?
  - How often will we contact our staff?
  - Have our staff made plans to protect their families?
  - What do we tell our customers?
  - What do we tell our suppliers?
  - How do we get back to normal or adapt to a new normal?







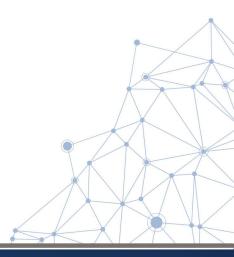
Think it through... then write it down!







#### **Additional Information and Resources**







#### **Formal Terminology**

#### What We Talked About

What major functions do you need to carry out for your business/organization to continue to exist?

How long can it wait?

Who is in charge when the boss is gone?

Who fills what roles when the boss is gone?

What is in the building that we needed?

Where will our staff work?

How old can our data be until it's a major problem?

What systems do we depend on?

How to we get back to normal, or a new normal?

#### Formal Terminology

**Critical Functions** 

Recovery Time Objective

Succession

**Delegations of Authority** 

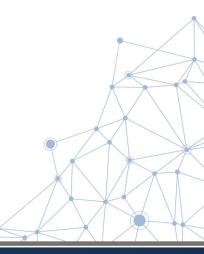
Vital Supplies/Equipment

**Alternate Facilities** 

**Recovery Point Objective** 

Vital Systems

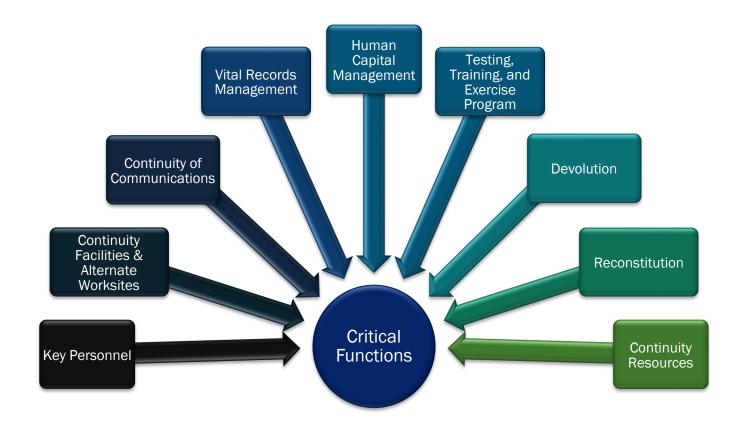
Reconstitution

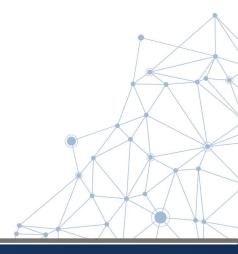






#### **Full Continuity Programs**









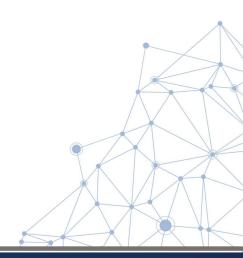
#### **Additional Resources**

- FEMA's Continuity Resource Toolkit:
  - https://www.fema.gov/emergency-managers/national-preparedness/continuity/toolkit
- Ready.Gov Business Tools:
  - https://www.ready.gov/business
  - US Chamber of Commerce Foundation Resilience in a Box:
  - https://www.uschamberfoundation.org/resilience-box
- New York State Division of Homeland Security and Emergency Services (NYS DHSES) Business Preparedness:
  - https://www.dhses.ny.gov/business-preparedness
  - Insurance Institute for Business and Home Safety Open for Business EZ Toolkit: <a href="https://disastersafety.org/business-protection/ofb-ez/">https://disastersafety.org/business-protection/ofb-ez/</a>





## Review of Sample Business Continuity Planning Framework







## **Open Discussion**

Thank you for your time!

