



## **TOMPKINS COUNTY COMPLIANCE PROGRAM**

Fact Sheet Series - Issue No. 3 (Revised 2023)

*Think Compliance First!*

### **The Tompkins County Compliance Committee**

The County Compliance Committee is a nine-member working group appointed by the County Administrator. The role of the Compliance Committee is to advise and assist the County Administrator with implementation of the County Compliance Program, which includes:

- Reviewing and assessing existing policies and procedures that address compliance risk issues.
- Working with departments to develop standards and processes that address specific risk areas and encourage compliance according to legal and ethical requirements.
- Developing internal systems and controls to carry out compliance standards and policies.
- Monitoring internal and external reviews to identify potential non-compliant issues.
- Implementing corrective and preventive action plans.
- Developing a process to solicit, evaluate, and respond to complaints and problems.
- Assisting with County employee training and outreach.

### **Committee Membership**

Lisa Holmes, County Compliance Officer  
County Administrator

Kit Kephart, Commissioner of  
Social Services

Jessi Spudis, Risk & Compliance Administrator  
County Administration

Greg Potter, Director of  
Information Technology Services

Vacant, Information Security Compliance Officer  
Information Technology Services

Drew Braman, Interim Director of  
Finance

Ruby Pulliam, Commissioner of  
Human Resources

Frank Kruppa, Commissioner of  
Public & Mental Health

William Troy, County Attorney

### **County Compliance Confidential Helpline: 877-348-1396**

The Confidential Compliance Helpline is equipped to handle reports from both identified and anonymous callers regarding any known or suspected violations of laws, regulations, or policies related to waste, fraud, or abuse of County funds and resources.