5.3 HAZARD RANKING

As discussed in Section 5.2 (Identification of Hazards of Concern), a comprehensive range of natural hazards that pose a significant risk to Tompkins County were selected and considered during development of this plan; however, each community in Tompkins County has differing levels of exposure and vulnerability to each of these hazards. It is important for each community participating in this plan to recognize those hazards that pose the greatest risk to their community and direct their attention and resources accordingly to most effectively and efficiently manage risk and reduce losses. The hazard ranking for the County and each participating jurisdiction can be found in their jurisdictional annexes in Volume II, Section 9 of this plan.

To this end, a hazard risk ranking process was conducted for Tompkins County and its municipalities using the method described below. This method includes four risk assessment categories—probability of occurrence, impact (population, property, and economy), adaptive capacity, and changing future conditions (climate change). Each were assigned a weighting factor to calculate an overall ranking value for each hazard of concern. Depending on the calculation, each hazard was assigned a high, medium, or low ranking. Details regarding each of these categories is described below.

5.3.1 Hazard Ranking Methodology

The methodology used to rank the hazards of concern for Tompkins County is described below. Estimates of risk for the County were developed using methodologies promoted by FEMA's hazard mitigation planning guidance, generated by FEMA's HAZUS-MH risk assessment tool, and input from Tompkins County and participating jurisdictions. The ranking includes a factor to evaluate capacity of the participating jurisdiction regarding ability to address the hazard through plans, policies, and mitigation strategies.

shows the four risk assessment categories' values for each of Tompkins County's hazards. Details for each category are further described below.

| Category | Level / Category | | | Weighted Value |
|---------------------------|---------------------|--|---|-------------------|
| Probability of Occurrence | Unlikely | A hazard event is not likely to occur or is unlikely to occur with less than a 1% annual chance probability. | 0 | 30% |
| | Rare | Between 1 and 10% annual probability of a hazard event occurring. | 1 | |

Table 5.3-1. Summary of Hazard Ranking Approach



| Category | | Level / Category | Degree of Risk / Benchmark Value | Numeric Value | Weighted Value |
|-----------------------------|--------------------------------------|---------------------|---|------------------|-------------------|
| | | Occasional | Between 10 and 100% annual probability of a hazard event occurring. | 2 | |
| | | Frequent | 100% annual probability; a hazard event may occur multiple times per year. | 3 | |
| | | Low | 14% or less of population is exposed to a hazard with potential for measurable life safety impact due to its extent and location. | 1 | |
| | Population (Numeric Value x 3) | Medium | 15% to 29% of population is exposed to a hazard with potential for measurable life safety impact due to its extent and location. | 2 | |
| | | High | 30% or more of population is exposed to a hazard with potential for measurable life safety impact due to its extent and location. | 3 | |
| Impact (Sum of all 3) | Property (Numeric Value x 2) | Low | Property exposure is 14% or less of the total number of structures for community. | 1 | 30% |
| | | Medium | Property exposure is 15% to 29% of the total number of structures for community. | 2 | |
| | | High | Property exposure is 30% or more of the total number of structures for community. | 3 | |
| | | Low | Loss estimate is 9% or less of the total replacement cost for community. | 1 | |
| | Economy (Numeric Value x 1) | Medium | Loss estimate is 10% to 19% of the total replacement cost for community. | 2 | |
| | | High | Loss estimate is 20% or more of the total replacement cost for community. | 3 | |
| Capability | | Low | Weak/outdated/inconsistent plans, policies, codes/ordinances in place; no redundancies; limited to no deployable resources; | 3 | 30% |



| Category | Level / Category | Degree of Risk / Benchmark Value | Numeric Value | Weighted Value |
|----------------|---------------------|--------------------------------------|------------------|-------------------|
| | Category | limited capabilities to respond; | Value | Value |
| | | long recovery. | | |
| | | Plans, policies, codes/ordinances | | - |
| | | in place and meet minimum | | |
| | | requirements; mitigation | | |
| | | strategies identified but not | | |
| | Medium | implemented on a widespread | 2 | |
| | | scale; county/jurisdiction can | _ | |
| | | recover but needs outside | | |
| | | resources; moderate | | |
| | | county/jurisdiction capabilities. | | |
| | | Plans, policies, codes/ordinances | | |
| | | in place and exceed minimum | | |
| | | requirements; | | |
| | High | mitigation/protective measures in | | |
| | | place; county/jurisdiction has | 1 | |
| | | ability to recover quickly because | | |
| | | resources are readily available, | | |
| | | and capabilities are high. | | |
| | | No local data is available; | | |
| | | modeling projects are uncertain | | |
| | Low | on whether there is increased | 1 | |
| | | future risk; confidence level is low | | |
| | | (inconclusive evidence). | | |
| | | Studies and modeling projections | |] |
| | | indicate a potential for | | |
| | Medium | exacerbated conditions due to | 2 | |
| Climata Changa | Medium | climate change; confidence level | 2 | 10% |
| Climate Change | | is medium to high (suggestive to | | 10% |
| | | moderate evidence). | | |
| | | Studies and modeling projections | | |
| | | indicate exacerbated | | |
| | High | conditions/increased future risk | | |
| | | due to climate change; very high | 3 | |
| | | confidence level (strong evidence, | | |
| | | well-documented and acceptable | | |
| | | methods). | | |

5.3.1.1 Probability of Occurrence

The probability of occurrence is the likelihood of a hazard event occurring in any given year. A review of historic events assists with this determination. Each hazard of concern is rated in accordance with the numerical ratings and definitions described in



Table 5.3-2. The probability of occurrence is given a weighted value of 30%.

Table 5.3-2. Probability of Occurrence Ranking Factors

| | Probability | | |
|---------------|-------------|------------------------------------|--|
| Numeric Value | Category | Definition | |
| | | A hazard event is not likely to | |
| 0 | Unlikely | occur or is unlikely to occur with | |
| U | Offlikely | less than a 1% annual chance | |
| | | probability. | |
| | | Between 1 and 10% annual | |
| 1 | Rare | probability of a hazard event | |
| | | occurring. | |
| | | Between 10 and 100% annual | |
| 2 | Occasional | probability of a hazard event | |
| | | occurring. | |
| | | 100% annual probability; a hazard | |
| 3 | Frequent | event may occur multiple times | |
| | | per year. | |

5.3.1.2 Impact

The impact of each hazard is considered in three categories: impact on population, impact on property (general building stock including critical facilities), and impact on the economy. Based on documented historic losses and individual assessments by each participating municipality, an impact rating of high, medium, or low is assigned with a corresponding numeric value for each hazard of concern. In addition, a weighting factor is assigned to each impact category: 3 for population, 2 for property, and 1 for economy. This gives the impact on population the greatest weight in evaluating the impact of a hazard. The total of each category is assigned a weighted value of 30%. Table 5.3-3 presents the numerical rating, weighted factor and description for each impact category.

Table 5.3-3. Numerical Values and Definitions for Impacts on Population, Property and Economy

| Category | Weighted Value | Low Impact* (1) | Medium Impact (2) | High Impact (3) |
|------------|-------------------|--|---|--|
| Population | 3 | 14% or less of population is exposed to a hazard with potential for measurable life safety impact, due to its extent and location. | 15% to 29% of population is exposed to a hazard with potential for measurable life safety impact, due to its extent and location. | 30% or more of population is exposed to a hazard with potential for measurable life safety impact, due to its extent and location. |
| Property | 2 | Property exposure is 14% or less of the total number | Property exposure is 15% to 29% of the total number | Property exposure is 30% or more of the total |



| Category | Weighted Value | Low Impact* (1) | Medium Impact (2) | High Impact (3) |
|----------|-------------------|--|--|---|
| | | of structures for | of structures for | number of structures for |
| | | community. | community. | community. |
| Economy | 1 | Loss estimate is 9% or less of the total replacement cost for community. | Loss estimate is 10% to 19% of the total replacement cost for community. | Loss estimate is 20% or more of the total replacement cost for community. |

Note: A numerical value of zero is assigned if there is no impact.

5.3.1.3 Additional Impacts

Along with impacts on population, property, and economy, the overall risk ranking looks at two additional impacts that impact the County's vulnerability: capability and climate change. Table 5.3-4 presents the numerical rating and description for each category.

Capability

Capability refers to a jurisdiction's ability to protect the community from or withstand a hazard event. Mitigation measures are already in place, including codes/ordinances, plans, and procedures to withstand hazards due to design or location, deployable resources, or plans and procedures in place to respond to an event. The capability category has a weighted factor of 30%.

Climate Change

Climate change refers to the impact that climate change projections have on increasing or decreasing the severity and frequency of a hazard. The climate change category has a weighted factor of 10%.

Table 5.3-4. Numerical Values and Definitions for Adaptive Capacity and Changing Future Conditions

| Category | Low Impact* | Medium Impact | High Impact |
|-------------------|---|---|---|
| Capability | Weak/outdated/inconsistent plans, policies, codes/ordinances in place; no redundancies; limited to no deployable resources; limited capabilities to respond; long recovery. | Plans, policies, codes/ordinances in place and meet minimum requirements; mitigation strategies identified but not implemented on a widespread scale; county/jurisdiction can recover but needs outside resources; moderate county/jurisdiction capabilities. | Plans, policies, codes/ordinances in place and exceed minimum requirements; mitigation/protective measures in place; county/jurisdiction has ability to recover quickly because resources are readily available, and capabilities are high. |
| Climate Change | No local data is available; modeling projects are uncertain on whether there is increased future risk; | Studies and modeling projections indicate a potential for exacerbated conditions due to climate change; confidence level is medium to high | Studies and modeling projections indicate exacerbated conditions/increased future risk due to climate change; very |



^{*} For the purposes of this exercise, "impacted" means exposed for population and property and loss for economy.

| Category | Low Impact* | Medium Impact | High Impact |
|----------|--------------------------|-------------------------|-------------------------------|
| | confidence level is low | (suggestive to moderate | high confidence level (strong |
| | (inconclusive evidence). | evidence). | evidence, well-documented and |
| | | | acceptable methods). |

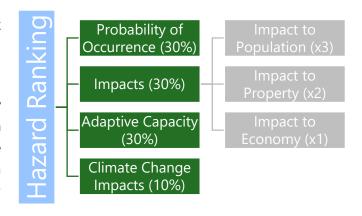
Note:

Low impact for adaptive capacity means the jurisdiction does not have the capability to effectively respond, which increases vulnerability; whereas high impact for adaptive capacity means the jurisdiction does have the capability to effectively respond, which decreases vulnerability.

5.3.1.4 Risk Ranking Value

Each impact was then weighted and the risk ranking for each hazard is then calculated using the following formula.

Based on the total for each hazard, a priority ranking is assigned to each hazard of concern (high, medium, or low). The rankings were categorized as follows: Low = values less than 3.5; Medium = values between 3.5 and 4.5; High = values greater than 4.5.



Example Risk Ranking Equation

Risk Ranking = [(Impact on Population x 3) + (Impact on Property x 2) + (Impact on Economy x 1) x 30%] + <math>[Capability x 30%] + [Climate Impact x 10%] + [Probability of Occurrence x 30%]

5.3.2 Hazard Ranking Results

Using the process described above, the risk ranking for the identified hazards of concern was determined for Tompkins County. The hazard ranking for Tompkins County is detailed in the subsequent tables that present the step-wise process for the ranking. The Countywide risk ranking includes the entire planning area and might not reflect the highest risk indicated for any of the participating jurisdictions. The resulting ranks of each municipality indicate the differing degrees of risk exposure and vulnerability. The results support the appropriate selection and prioritization of initiatives to reduce the highest levels of risk for each municipality. Both the County and the participating jurisdictions have applied the same methodology to develop the Countywide risk and local rankings to ensure consistency in the overall ranking of risk, jurisdictions had the ability to alter rankings based on local knowledge and experience in handling each hazard.

This hazard ranking exercise serves four purposes:



- 1. To describe the probability of occurrence for each hazard;
- 2. To describe the impact each would have on the people, property, and economy;
- 3. Evaluate the capabilities a community has with regards to natural hazards; and
- 4. To consider changing future conditions (i.e., climate change) in Tompkins county.

Estimates of risk for Tompkins County were developed using methodologies promoted by FEMA's hazard mitigation planning guidance, generated by FEMA's HAZUS-MH risk assessment tool and input from the County and participating municipalities. Table 5.3-5 shows the probability ranking assigned for likelihood of occurrence for each hazard.

Table 5.3-5. Probability of Occurrence Ranking for Hazards of Concern for Tompkins County

| Hazard of Concern | | Probability | Numeric Value |
|-------------------|-------------------------|-------------|------------------|
| ** | Disease Outbreak | Occasional | 2 |
| 100 | Drought | Occasional | 2 |
| Š × | Extreme Temperatures | Frequent | 3 |
| | Flood | Occasional | 2 |
| * | Invasive Species | Occasional | 2 |
| 7 | Harmful Algal Bloom | Occasional | 2 |
| G) | Severe Storm | Frequent | 3 |
| ₩ | Severe Winter Storm | Frequent | 3 |

Table 5.3-6 shows the impact evaluation results for each hazard of concern, including impact on property, structures, and the economy on the County level. It is noted that several hazards that have a high impact on the local jurisdictional level can have a lower impact when analyzed countywide. Jurisdictional ranking results are presented in each local annex in Section 9 (Jurisdictional Annexes) of this plan. The weighting factor results and a total impact for each hazard also are summarized.



Table 5.3-6. Impact Ranking for Hazards of Concern for Tompkins County

| Population | | | | Property | | | Economy | | | |
|-------------------------|--------|------------------|---|----------|------------------|---|---------|------------------|--|---|
| Hazard of Concern | Impact | Numeric Value | Multiplied by Weighing Factor (3) | Impact | Numeric Value | Multiplied by Weighing Factor (2) | Impact | Numeric Value | Multiplied by Weighing Factor (1) | Rating (Population + Property + Economy) |
| Disease Outbreak | Medium | 2 | 6 | Low | 1 | 2 | Medium | 2 | 2 | 10 |
| Drought | Low | 1 | 3 | High | 3 | 6 | Medium | 2 | 2 | 11 |
| Extreme Temperatures | Medium | 2 | 6 | Low | 1 | 2 | Low | 1 | 1 | 9 |
| Flood | Medium | 2 | 6 | Medium | 2 | 4 | Medium | 2 | 2 | 12 |
| Invasive Species | Low | 1 | 3 | Medium | 2 | 4 | Medium | 2 | 2 | 9 |
| Harmful Algal Bloom | Medium | 2 | 6 | Low | 1 | 2 | Medium | 2 | 2 | 10 |
| Severe Storm | High | 3 | 9 | Low | 1 | 2 | Low | 1 | 1 | 12 |
| Severe Winter Storm | Medium | 2 | 6 | Low | 1 | 2 | Low | 1 | 1 | 9 |



Table 5.3-7 shows the additional impact rankings for the hazards of concern. This includes the overall capabilities of the County and municipalities and the consideration of changing future conditions, such as climate change.

Table 5.3-7. Additional Impact Ranking for Hazards of Concern for Tompkins County

| Hazard of Concern | Adaptive Capacity | Numeric Value | Climate Change | Numeric Value |
|-------------------------|----------------------|------------------|----------------|------------------|
| Disease Outbreak | 2 | 0.6 | 2 | 0.2 |
| Drought | 2 | 0.6 | 3 | 0.3 |
| Extreme Temperatures | 2 | 0.6 | 3 | 0.3 |
| Flood | 2 | 0.6 | 3 | 0.3 |
| Invasive Species | 2 | 0.6 | 2 | 0.2 |
| Harmful Algal Bloom | 2 | 0.6 | 2 | 0.2 |
| Severe Storm | 2 | 0.6 | 3 | 0.3 |
| Severe Winter Storm | 1 | 0.3 | 2 | 0.2 |

Table 5.3-8 presents the total calculations for each hazard ranking value for the hazards of concern.

Table 5.3-8. Total Hazard Ranking Values for the Hazards of Concern for Tompkins County

| Hazard of Concern | Probability x 30% | Total Impact x 30% | Adaptive Capacity x 30% | Climate Change x 10% | Total Risk Ranking Value |
|-------------------------|----------------------|-----------------------|-------------------------------|-------------------------|--------------------------------|
| Disease Outbreak | 0.6 | 3.0 | 0.6 | 0.2 | 4.4 |
| Drought | 0.6 | 3.3 | 0.6 | 0.3 | 4.8 |
| Extreme Temperatures | 0.9 | 2.7 | 0.6 | 0.3 | 4.5 |
| Flood | 0.6 | 3.6 | 0.6 | 0.3 | 5.4 |
| Invasive Species | 0.6 | 2.7 | 0.6 | 0.2 | 4.1 |
| Harmful Algal Bloom | 0.6 | 3.0 | 0.6 | 0.2 | 4.1 |
| Severe Storm | 0.9 | 3.6 | 0.6 | 0.3 | 5.4 |
| Severe Winter Storm | 0.9 | 2.7 | 0.3 | 0.2 | 4.1 |

Low = values less than 3.5 (yellow); Medium = values between 3.5 and 4.5 (amber); High = values greater than 4.5 (red).



Table 5.3-9 presents the jurisdictional hazard ranking for each hazard. An evaluation of the total risk ranking score determined ranking categories that were grouped into three categories, low, medium, and high. It also includes input by the municipalities. The rankings were categorized as follows: Low = values less than 3.5 colored yellow; Medium = values between 3.5 and 4.5 colored amber; High = values greater than 4.5 colored red.

These rankings have been used as one of the bases for identifying the jurisdictional hazard mitigation strategies included in Section 9 (Jurisdictional Annexes) of this plan. The summary rankings for the County reflect the results of the vulnerability analysis for each hazard of concern and can vary from the specific results of each jurisdiction. For example, the severe storm hazard may be ranked low in one jurisdiction, but due to the exposure and impact countywide, it is ranked as a high hazard and is addressed in the County mitigation strategy accordingly.

Table 5.3-9. Summary of Preliminary Overall Ranking of Natural Hazards by Jurisdiction

| Tompkins County Municipalities | Disease Outbreak | Drought | Extreme Temperature | Flood | Invasive Species | Harmful Algal Bloom | Severe Storm | Severe Winter Storm |
|-----------------------------------|---------------------|---------|------------------------|--------|---------------------|------------------------|-----------------|---------------------------|
| Caroline, T | Medium | High | Medium | High | Medium | Low | High | Medium |
| Cayuga Heights, V | Medium | Medium | Medium | Medium | Medium | Medium | High | Medium |
| Danby, T | Medium | High | Medium | Low | Medium | Low | High | Medium |
| Dryden, T | Medium | High | Medium | High | Medium | Medium | High | Medium |
| Dryden, V | Medium | Medium | Medium | High | Medium | Medium | High | Medium |
| Enfield, T | Medium | High | Medium | Low | Medium | Low | High | Medium |
| Freeville, V | Low | Medium | Medium | High | Low | Low | High | Medium |
| Groton, T | Medium | High | Medium | High | Medium | Low | High | Medium |
| Groton, V | Medium | Medium | Medium | High | Medium | Low | High | Medium |
| Ithaca, C | Medium | Medium | Medium | High | Medium | Medium | High | Medium |
| Ithaca, T | Medium | High | Medium | High | Medium | Medium | High | Medium |
| Lansing, T | Medium | High | Medium | High | Medium | Medium | High | Medium |
| Lansing, V | Medium | Medium | Medium | Medium | Medium | Medium | High | Medium |
| Newfield, T | Medium | High | Medium | High | Medium | Low | High | Medium |
| Trumansburg, V | Medium | Medium | Medium | Low | Medium | Low | High | Medium |
| Ulysses, T | Medium | High | Medium | Low | Medium | Medium | High | Medium |
| Tompkins County | Medium | High | Medium | High | Medium | Medium | High | Medium |

Low = Values less than 4; Medium = Values between 4 and 5; High = Values greater than 5.1.

